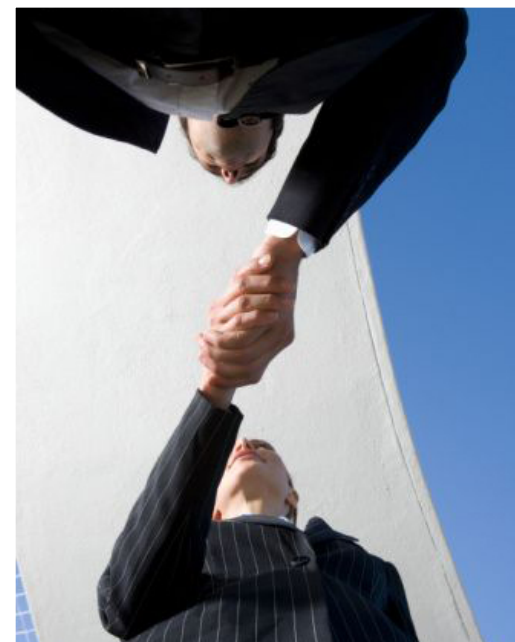


# Personalized Advisory Service

Our CTAs are carefully selected from the universe of CTAs, primarily based on their risk-adjusted returns and our overall evaluation of their trading expertise. Each has their own trading style and market approach with varying levels of aggression in their trading. Our managed futures specialist works with each investor based on an investor's risk tolerance, investment capital, performance goals, and diversification requirements, in selecting the CTA or CTA portfolio that may best satisfy the investor's needs.

This is a personalized highly collaborative process where the managed futures specialist provides the necessary information and comfort to the investor in order to make an informed investment decision.



Trading futures and options involves substantial risk of loss no matter who is managing your money and is not suitable for all investors. Past performance is not necessarily indicative of future results. This matter is intended as a solicitation.

# Important Questions!



Is your “traditional diversification” really protecting your future? What investment do you have in your portfolio that has the versatility to potentially profit just as easily in declining as well as in rising markets? In today’s global economy, real diversification may be more important than ever before.

The old “buy and hold” recipe of strictly stocks and bonds no longer provides the security and reliability that it once did, especially in the current economic cycle. We believe that the definition of diversification in the 21st century should include a wide variety of “uncorrelated” asset classes. These should include investment alternatives which provide the potential opportunity for profits in declining markets and the ability to achieve a truly diversified portfolio.

**We believe there are few investments that can provide this type of diversification better than professionally managed futures!**

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# Frequently Asked Questions

**Q: Are there any tax benefits to investing in managed futures?**

**A:** Yes. According to the Tax Act of 1981, short-term profits in commodities are treated as 60% long term and 40% short term. On the other hand, short term trading profits in stocks are treated as 100% short term. A short-term investment is one that is held for less than one year. This favorable tax treatment in commodities can translate to investors in upper tax brackets, saving as much as 30% on taxes in short term gains on commodities versus stocks! Investors should consult their tax advisor regarding their individual tax situation.

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# Frequently Asked Questions (cont'd.)

## **Q: Where is my money kept?**

**A:** Clients assets are held in a Customer Segregated Account by ADM Investor Services, Inc. at an established financial institution.

## **Q: How accessible are my funds in a managed account?**

**A:** A managed account usually offers a high degree of liquidity. Although we strongly advise you to view your managed account as a long-term investment, part or all of your funds are available at any time.

## **Q. Is the money in my managed futures account safe?**

**A:** Your funds are kept in segregated accounts in your name. CFTC Regulations prohibit Futures Commission Merchants (FCM) from commingling segregated funds.

Be advised that segregation of funds does not guarantee safety from losses due to trading.

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# Frequently Asked Questions (cont'd.)

**Q: How can I track the performance in my managed account?**



Clients have 24/7 access to their accounts via a password protected Web site disclosing all trading activity and account balances. Funds can be liquid and accessible within one weeks notice.



You may also call your Managed Futures Specialist who receives a daily equity run detailing all your open positions, netting out profit and losses, showing the exact daily balance in your account.



Whether you call or not, a purchase and sale statement (P/S Statement) can and will automatically be sent to you on every single trade, showing the date and price entered; when you exit a trade, the date, price, and net profit or loss on the trade as well as your account balance.

Besides receiving confirmation on each individual transaction, a summary of all transactions showing their results are posted on the web via your password protected account. Instead of having your statements post office mailed, you can select having your P/S statements e-mailed directly to you! Therefore, even without calling, you will have a written, detailed breakdown of the CTA's transactions and performance in your account.

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# Frequently Asked Questions (cont'd.)

**Q: I am down on my stock fund or stock related investment, why would I want to sell at a loss in order to open a managed futures account ?**

**A:** This is a very common question, especially in today's market environment. Human beings are not programmed emotionally to accept losses. But the #1 rule is to cut losses and let profits run, the same logic applies to portfolios.

If it is true that you would have a better chance to potentially improve long term performance with a mix of different asset classes which includes managed futures, does it matter what the current value of your stock or stock fund is? This mix may have the potential to perform better over a period of time in the future than an investment in stocks and bonds alone.

We are not suggesting that you liquidate your entire portfolio in order to participate in managed futures. We believe that stocks play an important role in a portfolio. We are suggesting you take into serious consideration the compelling facts, studies and opinions brought out in this PowerPoint presentation on diversifying your overall portfolio with professionally managed futures!

Why risk your entire portfolio on the hope that we are not at one of those stages? In the strongest of terms, we believe we are in one of those stages now where stocks will under-perform for the next decade!

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# Contact

**For more information about managed futures,  
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